

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
13768.409

In Re Application Of: **Fred W. Balsiger et al.**

| | | | |
|--------------------------|---------------------------|------------------------|------------------------------|
| Serial No. Unassigned | Filing Date 06/27/2003 | Examiner Unassigned | Group Art Unit Unassigned |
|--------------------------|---------------------------|------------------------|------------------------------|

Title: **BEHAVIOR ARCHITECTURE FOR COMPONENT DESIGNERS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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Serial No.
Unassigned

Filing Date
06/27/2003

Examiner
Unassigned

Group Art Unit
Unassigned

BEHAVIOR ARCHITECTURE FOR COMPONENT DESIGNERS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Director is hereby authorized to charge and credit Deposit Account No. **23-3178** as described below.
- Charge the amount of _____
 Credit any overpayment.
 Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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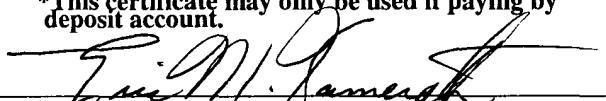
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I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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*This certificate may only be used if paying by deposit account.


Signature

Dated: **June 27, 2003**

ERIC M. KAMERA TH

Registration No. 46,081



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PATENT TRADEMARK OFFICE

cc:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------------------|-----------------------------|
| In re application of |) |
| |) |
| Fred W. Balsiger et al. |) |
| |) |
| Serial No.: | Unassigned) Art Unit |
| |) Unassigned |
| Confirmation No.: | Unassigned) |
| |) |
| Filed: | June 27, 2003) |
| |) |
| For: | BEHAVIOR ARCHITECTURE FOR) |
| | COMPONENT DESIGNERS) |
| |) |
| Examiner: | Unassigned) |
| |) |

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Commissioner of Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED June 27, 2003.

Respectfully submitted,



ERIC M. KAMERATH
Attorney for Applicant
Registration No. 46,081



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| INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) 13768.409 | | Application Number Unassigned | |
|--|-----------------|--|------------|---|----------|----------------------------------|----------------------------|
| | | | | Applicant(s) Fred W. Balsiger et al. | | Filing Date 06/27/2003 | |
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| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
| REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
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| EXAMINER | | | | DATE CONSIDERED | | | |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| | | Filing Date 06/27/2003 | Group Art Unit Unassigned |
| *EXAMINER INITIAL | OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | |
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| EXAMINER | | DATE CONSIDERED | |

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